

**Resources Legacy Fund (RLF)**  
**Sustainable Fisheries Fund (SFF) Grant Proposal Application** (v.12-2022)  
**2022 – 2024**

This worksheet is provided to facilitate RLF’s SFF proposal application process. Following review of all applications received during the proposal solicitation windows, RLF staff may work with select applicants to refine the proposal to ensure completeness. Applications must be in English and include all required attachments. Please submit the completed worksheet in Microsoft Word format. See page 6 for proposal submission instructions.

**Date of Submission:**

13 September 2023

**Project Title:** Development and implementation of a social workplan for the Mauritania small pelagics FIP

**Grantee Name, Location and Size:** *Organization receiving the funds, the city and country where the applicant is based, and the number of staff employed by the organization. If you will have a fiscal sponsor, please also identify the organization who will receive the funds.*

- Olvea Fish Oils (OFO) (Fécamp, France and Nouadhibou, Mauritania, 41 staff): It will receive and distribute the funds and provide overall oversight of the project through consultant FIP coordinator Jo Gascoigne
- Institut Mauritanien de Recherches Océanographiques et de Pêches (IMROP) (Scientific and social research organisation, Nouadhibou, Mauritania, 250 staff): Preparation of social workplan in consultation with stakeholders, based on audit report and scoping.
- PartnerAfrica (London, UK, 24 staff): Scoping, consultancy support for preparation of workplan

<b>Grant Request Amount</b> (Amount requested from RLF in U.S. dollars)	\$ 20,000
<b>Proposed Grant Term</b> (in months. Terms of 12 to 24 months will be considered).	12 months
<b>Proposed Grant Period</b> (Example: January 2023 – December 2024)	November 2023-October 2024

<b>Grantee Point of Contact</b>	
Person who will oversee the project funded by the RLF grant.	
Organisation	For FIP / OFO – overall project manager
Name	Jo Gascoigne
Title	consultant / FIP co-coordinator
Email	<a href="mailto:jo.gascoigne@cantab.net">jo.gascoigne@cantab.net</a>
Organisation	For IMROP
Name	Cheikh-baye Braham
Title	FIP co-coordinator
Email	<a href="mailto:baye.braham@gmail.com">baye.braham@gmail.com</a>
Organisation	For PartnerAfrica
Name	Annefloor Alting
Title	Director
Email	<a href="mailto:aalting@partnerafrica.org">aalting@partnerafrica.org</a>
<b>Grantee Submitter</b>	

Person who will submit the proposal to RLF, <b>if</b> different from the Grantee Contact.	
Name	<b>Jo Gascoigne</b>
Title	FIP coordinator
Email	<a href="mailto:jo.gascoigne@cantab.net">jo.gascoigne@cantab.net</a>
<b>Grantee Signatory</b>	
Person with legal authority to sign a grant agreement, if funding is approved.	
Name	Christophe Godard
Title	CSR Manager
Email	cgodard@olvea.com
<i>Grantee Signatory: Please provide the email best suited to accept and electronically sign documents via RightSignature or DocuSign.</i>	

**Project Personnel:** Please provide the names and a brief summary of qualifications of lead staff who will carry out the project (no more than 3).

The following experts will lead project technical activities:

Partner Africa:

Moustapha Taleb: Moustapha has a doctorate in sociology from the University of Cocody (Cote d'Ivoire; joint programme with Basel University), and more than 20 years' experience working in local and community development in Mauritania and elsewhere in the subregion, including in the fisheries sector.

Annefloor Alting: Annefloor has Masters degrees from Radboud University (Netherlands) and the London School of Economics (UK) and is a senior consultant at Partner Africa. She specialises in human rights risk due diligence, living wages assessments, responsible business practices and corporate advice and training in these issues. Prior to joining PartnerAfrica she worked at the Ministry of Foreign Affairs of the Netherlands. She was the team leader for the social audit of the fishery, and is an expert in conducting social audits.

IMROP:

Abdou Daïm Dia: Abdou Daïm Dia has a Masters degree in sociology from the University of Nice (France). He was formerly the director of the Social and Economic Studies Laboratory at IMROP. Now retired, he works regularly as a consultant for IMROP and others. He has worked for many years on the socio-economics and social resilience of Mauritanian and West African fisheries and has extensive expertise as a social scientist in assessing human rights, labour and social issues in the fisheries of the region. His CV has been supplied separately.

**Organization Type:** Please check the box that best describes the type of organization applying for the grant and receiving the grant funds.

- Fishing, fish-worker, or seafood organization
- Fishery management agency
- Academic or research organization
- Nonprofit or other non-governmental organization (NGO)
- Private consultancy
- Other, please describe: OFO (part of the Olvea group) is a processor and trader of fish oils. They are the lead international partner of the Mauritania small pelagics FIP.

(Also FYI: IMROP = research organisation, PartnerAfrica = private consultancy)

**Project Type/Major Components.** Note all projects must include fulfilment of the [FisheryProgress Human Rights and Social Responsibility Policy](#) (HRSRP). Please check all that apply, and list any additional major components as needed.

- Fulfillment of HRSRP components by an existing FIP
- Fulfillment of HRSRP components by a new FIP
- Design and launch of a new basic FIP
- Design and launch of a new comprehensive FIP
- MSC pre-assessment
- MSC full assessment
- Fair Trade audit
- Fishery stakeholder engagement and participation
- Capacity building
- Other (list all that apply): [type here]

**Specific components of HRSRP to be undertaken during grant period.** Please check all that apply. Component activities listed for reference; see the [complete HRSRP](#) for detailed information.

- Component 1 (required for all FIPs):
  - 1.1 Sign the FisheryProgress Human Rights Code of Conduct
  - 1.2 Provide information about the vessel/fishers included in the FIP
  - 1.3 Undertake best efforts to make fishers aware of their rights
  - 1.4 Demonstrate grievance mechanism availability
  - 1.5 Complete self-evaluation against the FisheryProgress criteria for increased risk of forced labor and human trafficking
- Component 2:
  - 2.1 Complete risk assessment using the Social Responsibility Assessment (SRA) tool
  - 2.2 Create social workplan to address red indicators in completed risk assessment
  - 2.3 Report publicly on action progress and update indicator scores
- Component 3:
  - 3.1 Voluntary reporting on performance or progress on one or more social issues

Please check here if your proposed project will include completion of a [Social Responsibility Assessment for the Seafood Sector](#).

FYI: Social audit already completed by Partner Africa

### **Proposal Narrative**

**Executive Summary.** *Please provide a concise overview of the proposed project, including the project's major elements, how the project will advance social and environmental aspects of fishery sustainability, and why the applicant requires grant funding to complete the project. Evidence to substantiate applicant need is encouraged. **Limit: 200 words.***

A social audit of the FIP was conducted by Partner Africa, consistent with the requirements of FisheryProgress. The project would use this audit to develop a social workplan for the FIP, composed of the following elements:

- A process for developing and implementing a grievance mechanism for the fishing vessel crew and fishmeal factory workers.
- Support for the vessels and factories to improve their HR and health and safety practices.
- A clear process to inform fishers and factory workers about their rights.
- A clear process to ensure that environmental regulations (air and water pollution and waste management) are respected by the factories.

The FIP has in place a costed workplan and agreed support from OFO and other participants for the completion of the environmental workplan (to the end of 2025, the current FIP end date), including project management in both Mauritania and Europe. However, we do not have funding in place for the social aspects of the FIP, now required by FisheryProgress. The social audit was funded by the IFFO/SFP Global Roundtable for Marine Ingredients, but we

need additional funding to move the project forward from an audit report to a workplan and then to implementation.

**Fishery Improvement Project Description.** *Please identify or describe the following:*

*FIP name(s) and link to FisheryProgress FIP profile(s) (if applicable):*

Mauritania small pelagics purse seine

<https://fisheryprogress.org/fip-profile/mauritania-small-pelagics-purse-seine>

*The geographic area or areas of the project's target fisheries or FIPs, including country and [FAO Major Fishing Area](#), target species, and gear types.*

Mauritania EEZ, FAO 34, main target species: sardine (*Sardina pilchardus*) and flat sardinella (*Sardinella maderensis*) with some other small pelagic species taken as bycatch, gear type purse seine.

*Market and value chain context:*

The majority of sardine and sardinella landings from Mauritanian waters enter the fishmeal / fish oil (FMFO) supply chain. Mauritania has 29 active FMFO factories (2022), of which 9 are FIP participants, covering just over 30% of FMFO production. The FMFO is exported from Mauritania to international markets, where it is further processed into livestock and aquaculture feed and pet food. International supply chain FIP participants (Europe and North America) include Olvea, Cargill, Skretting and Royal Canin (Mars). Much of the remaining production (not covered by the FIP) is processed by Chinese-owned factories and exported to China.

*Additional project support:*

Other than the organisations listed above, the FIP is supported by Mauritanian organisations as follows:

- Ministère des Pêches et de l'Économie Maritime (Ministry of Fisheries and the Marine Economy). MPEM chairs the FIP Steering Committee (CdP).
- Fédération Nationale de Pêche (National Fisheries Federation – representative organisation for fishers) ; CdP member, financial contributor.
- Garde Côte Mauritanien (Coast Guard) ; CdP member.
- Office Nationale d'Inspection Sanitaire des Produits de la Pêche et de l'Aquaculture (ONISPA) ; CdP member.

It is also supported by the IFFO / SFP Global Round Table for Marine Ingredients (<https://marineingredientsroundtable.org/>) who supported the completion of the social audit report on which the social workplan will be based.

**Project Outcomes.**

*Please list the specific outcomes to be achieved by the end of the proposed project or as a result of your work and describe how they connect to the FIP's long-term goals. **Limit: 350 words.***

General outcome: The FIP is implementing a social workplan. A social audit has been conducted for the FIP fishery (coastal purse seine fleet) and factories, following the requirements of the Social Responsibility Assessment for the Seafood Sector, by independent experts (Partner Africa). The FIP would like to use this audit report as the basis for a social workplan, which will address the issues raised by the audit and allow the FIP to comply with FisheryProgress social requirements (policy, fisher grievance mechanism, fisher awareness of rights), as well as other important issues (factory employee rights, elimination of pollution and a healthy environment around factories).

This outcome can be broken down into the following more specific outcomes:

Outcome 1: There is a process in place to establish a grievance mechanism for fishers. A grievance mechanism for fishers is a requirement under the FisheryProgress social requirements, but the social audit concluded that there is nothing sufficient in place as yet. The workplan will set out how to establish and publicise a grievance mechanism.

**Note:** Although this is a baseline requirement for FIP, we have had so far not been able to put this in place in a robust way, because the fishing vessels are not direct participants in the FIP, and because of lack of expertise within the FIP. We require firstly a review of the current situation (provided by the social audit) and then a robust workplan which identifies and establishes appropriate structures and procedures which will work.

Outcome 2: The participating factories and vessels can be supported to improve their HR and health and safety practices where necessary. The social audit identified some potential deficiencies in the practices of some factories and possibly vessels, and the FIP will use the workplan to support participants to improve.

Outcome 3: Fishers and factory employees are able to access information about their rights. This is a requirement under the FisheryProgress social requirements, but the social audit concluded that there is nothing sufficient in place as yet. The workplan will establish how to inform both fishers and factory staff about their rights under Mauritanian law.

Outcome 4: The FIP factories are implementing all Mauritanian government requirements around pollution (the 'cahier de charge') to address the right to a safe and healthy environment, including smoke filters, waste water processing and plastic waste management.

### **Project Activities.**

*Please describe specific planned actions that will be carried out to achieve the listed Project Outcomes, including identification of specific HRSRP provisions that will be fulfilled. **Limit: 350 words***

#### Action 1 – Scoping

Partner Africa will use their audit report (elements relating to the FIP only) to provide a scoping report, in the form of a list of specific issues / objectives that the workplan should address, in order to fulfil HRSRP requirements as well as to address human rights deficiencies by Duty Bearers related to the FIP (vessels and factories). The scoping report will be reviewed by the other project partners (IMROP and OFO).

#### Action 2 – Stakeholder consultations

Stakeholder consultations will take place in Nouadhibou, to consider and agree the best means of achieving the objectives set out in the scoping. The consultations will be led by IMROP.

#### Action 3 – Workplan drafting and finalisation

Based on the activities, responsibilities and costs agreed during consultation, IMROP (with the support and review of Partner Africa and the FIP coordinators and CdP) will draft a social workplan for the FIP. **The IMROP team will be led by Dr Dia (see summary of qualifications above).**

**Additional FIP Information:** Please **briefly** address each of the following questions (1-3 sentences).

*How will the applicant track the progress of the project over the grant period?*

The project participants will agree a detailed timetable for the three actions at the start of the project. Provisionally, this is proposed to be as follows: Months 1-2 – scoping, Month 3 – scoping review, Month 4 – consultation preparation, Months 5-7 – consultations, Month 8-9 – workplan drafting, Month 10-11 – workplan review and finalisation, Month 12 – for contingencies. Milestones: Final scoping report: end Month 3; Consultations complete: end Month 7, First draft of workplan: end Month 9, Final workplan: end Month 11.

*How will the applicant ensure active participation by fishers, workers, and/or their representatives in FIP implementation?*

The involvement / leadership of IMROP will ensure participation by the fishery and factories, and local ownership of the workplan. Factory owners and fisher representatives (the Federation Nationale de Pêche) are also represented through the FIP CdP.

*What is the estimated number of fishers or fishery workers that will be affected by proposed grant activities?*

The social assessment estimates that as of 2019, there were approximately 2000 employees in FMFO factories in Mauritania, including both permanent and temporary (seasonal). Not all the factories are FIP participants, and some are mainly or totally inactive. Taking the proportion of workers covered by the FIP to be roughly equivalent to the proportion of fishmeal production covered by the FIP, this is ~30% or roughly 600 workers.

The purse seine vessels have a crew of 20-30 (somewhat variable), but not all are Mauritanian (the others being mainly Senegalese and Turkish). The average number of Mauritanians on board is estimated at ~10. There are currently ~50 licensed vessels, so we could roughly estimate the number of Mauritanian crew who are involved in supplying the FIP factories as ~150 (10 x 50 x 30%).

**Note: The work may also benefit non-Mauritanian workers, but this might depend on the structures and procedures which can be put in place, and we prefer not to promise this at this stage.**

*How will the fishery's workers benefit from the project and its targeted outcomes?*

Outcome 1: There will be a clear pathway to establishing a grievance mechanism for fishers and factory staff.

Outcome 2: There will be a clear pathway to ensuring that all requirements of HR (contractual rights, age limits) and health and safety law are fully respected in the factories and onboard.

Outcome 3: There will be a process to ensure that fishers and factory employees are able to access information about their rights.

Outcome 4: FIP factories will be supported so that they are able to respect pollution legislation.

*What companies will be engaged or stand to benefit from the FIP or resulting certifications, and are they contributing to the proposed project's activities?*

- Olvea: Supports FIP project management (FIP coordinators fees and expenses) in full (see match funding below).
- Other international private sector participants (as listed above): Provide financial support for FIP environmental workplan activities (~€100,000 to end 2025). Supported FIP social audit through membership of Global Marine Ingredients Round Table (total cost UK£50k)
- IMROP: Support FIP via implementation of environmental workplan activities, provision of coordinator, provision of data, membership of CdP, hosting of meetings etc.

- Participating factories: Provide financial support on joining FIP (US\$ 5000), plus ongoing support in kind (participation in FIP activities and meetings, including social audit)

*If seeking support for development of a new FIP, please identify any other similar FIPs known to be underway for the same fishery.*

n/a

*Will the project upload all required FIP information, relevant findings and products to [FisheryProgress](#), in line with its requirements for public disclosure?<sup>1</sup> Note that funding is wholly contingent upon this explicit commitment. FIPs are expected to remain in good standing on FisheryProgress (active status and up to date on reporting requirements) for the duration of the grant. Failure to do so may incur termination of the grant and jeopardize future grant eligibility.*

The FIP is in good standing with FisheryProgress (see link provided above) and will continue to provide transparent updates as per the requirements.

**Additional requirement for SFF Grantees:**

*For all projects, RLF grantees are expected to submit all reports, assessments, and other documents generated with SFF grant funding in interim and final grant reports to RLF.*

**Project Budget and RLF Funding Request**

Using the table below, please provide overall project budget information, inclusive of planned match funding contributions, and the amount of RLF funds being requested to accomplish itemized components of this project. Note: RLF allows indirect costs up to 15% of the overall project budget.

<b>Project Budget Categories</b>	<b>Overall Project Budget</b>	<b>RLF Request</b>	<b>Notes</b>
<b>Project Salaries</b>	\$10,000	\$10,000	IMROP (Abdou Daïm Dia and other experts as required)
<b>Project Salaries</b>	\$ 5,000	\$ 0	FIP coordinators, 10% of FIP time for project management and inputs (estimated)
<b>Benefits</b>	\$ 0	\$ 0	
<b>Travel</b>	\$1,000	\$1,000	Moustapha Taleb, travel from Nouakchott and subsistence in Nouadhibou as required
<b>Subcontractors</b>	\$7,000	\$7,000	Partner Africa fee 5000 UK£
<b>Other #1</b>	\$ 6,000	\$ 2,000	Stakeholder consultation meeting costs Nouadhibou; the balance will come from the FIP budget

<sup>1</sup> Prospective grantees should familiarize themselves with FisheryProgress reporting requirements (available at [Resources for FIPs](#)), as well as the [HRSRP](#). Questions about these requirements may be submitted to FisheryProgress ([contact@fisheryprogress.org](mailto:contact@fisheryprogress.org)). Applicants interested in cohort coaching for FIPs implementing the HRSRP can inquire with the FIP community of practice ([contact@fipcop.org](mailto:contact@fipcop.org)).

<b>Other #2</b>	\$ 5,000	\$ 0	FIP consultation process (external review) from the FIP budget
<b>Other #3</b>	\$ 40,000	\$ 0	Social audit (Global Roundtable for Marine Ingredients)
<b>Direct Costs Subtotal</b>	\$74,000	\$20,000	
<b>Indirect</b> (not to exceed 15% of overall budget)	\$	\$	
<b>TOTAL:</b>	<b>\$ 74,000</b>	<b>\$ 20,000</b>	



**Match Funding Detail:** All SFF projects require at least 1:1 match funding in cash from other sources. For small-scale or especially financially challenged fisheries, SFF will consider in-kind effort as part of the required match on a case-by-case basis.

**Note: Funding from the David and Lucile Packard Foundation may not be considered as part of the applicant’s match for the project.** Please refer to the [SFF Strategy and Guidelines document](#) for more information.

<b>Funding Source (Organization Name)</b>	<b>Funding Organization Type</b> Please indicate one of the following types: Foundation; Government; Industry; Self (NGO, industry or government); In-kind; or Other (please describe).	<b>Amount Requested</b>	<b>Amount Received (or promised as ongoing payment)</b>	<b>Status</b> Please indicate: Date funds received; Request date/pending approval; etc.
Olvea Fish Oils	Industry	\$5,000	\$5,000	Ongoing funding of FIP coordinators, pro rata for management of and inputs to this project
Global Round Table for Marine Ingredients	Industry / NGO grouping	\$40,000	\$40,000	Approx. cost of social audit excluding non-FIP elements; funds received and spent
FIP budget	Industry	\$9,000	\$9,000	Consultation process (Mauritania and FIP stakeholders) to be mainly funded out of FIP ongoing budget (promised as ongoing payment)
<b>Total</b>		<b>\$54,000</b>	<b>\$54,000</b>	

**Explanation of match funding sources/timing (optional; limit 100 words):**

**Required Attachments:** RLF will accept documents in Word, Excel, or PDF. Please do not send links to Dropbox or other cloud-based services, or zip files.

- Organizational Budget: Must include a detailed budget for the organization for the current fiscal year.
- Financial Statements: Please provide the most recent audited financial statement or equivalent document (showing assets/liabilities and revenues/expenses).
- If applicable:
  - List of Board Members, including brief biographical summaries of each member. n/a
  - Your organization’s most recent IRS Form 990, if applicant is U.S.-based. n/a
  - IRS Letter confirming the applicant is a 501(c)(3) organization, if applicant is a U.S.-based non-profit organization. n/a

- Fiscal Sponsor Letter or memorandum of understanding (MOU) stating the relationship. **n/a**

## **Proposal Submission Instructions**

SFF proposal applications, including all required attachments, must be submitted via email to SFF Senior Program Coordinator Rebecca Valdez, [rvaldez@resourceslegacyfund.org](mailto:rvaldez@resourceslegacyfund.org).

**In 2023, SFF will receive applications during two windows:**

**Window 1: February 15 – March 15**

**Window 2: August 15 - September 15**

**Applications received outside of these two windows may not be reviewed or considered.**

Please email Rebecca Valdez directly should you have questions about the proposal process.